



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the accompanying application of CHARLES L. EDWARDS, KIRK H. RANEY, and PAUL G. SHPAKOFF

This is a division of Serial No. 10/679,174 filed October 3, 2003 which is a divisional of Application Serial No. 10/025,080 filed December 19, 2001

BRANCHED PRIMARY ALCOHOL COMPOSITIONS AND DERIVATIVES THEREOF

April 2, 2004

COMMISSIONER FOR PATENTS P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.56 AND 1.97

It is respectfully requested that the documents listed on the attached Form PTO-1449 be considered by the Patent and Trademark Office in the above-entitled application and made of record therein. Copies of the referenced documents are not provided since they were previously submitted to the Office in the parent application, Serial No. 10/025,080 filed December 19, 2001.

The Examiner is requested to indicate consideration of this art on the attached PTO-1449 (Modified) by initialing next to each item submitted by the Applicants.

Respectfully submitted,

CHARLES L. EDWARDS, KIRK H. RANEY, and PAUL G. SHPAKOFF

Bv

Their Attorney, Donald F. Haas

Registration No. 26,177

(713) 241-3356

P.O. Box 2463 Houston, Texas 77252-2463

### FORM PTO-1449 (Modified)

# LIST OF INFORMATION PROVIDED BY APPLICANT

(Use several sheets if necessary)

ATTY.	DOCK	ET NO.
<b>TH16</b>	47 07	7 (US)

APPLICATION NUMBER

APPLICANT

C. L. Edwards et al.

FILING DATE

**GROUP ART UNIT** 

Initial		Document No.	Date	Patentee	Class	Subclass	Filir if Appro	ng Date priate
	AA	3,676,523	7/11/72	Mason	260	683.15D		
	AB	3,686,351	8/22/72	Mason	260	683.15D		
	AC	3,737,475	6/5/73	Mason	260	683.15D		
	AD	3,825,615	7/23/74	Lutz	260	683.15D		
	AE	4,020,121	4/26/77	Kister et al.	260	683.15D		
	AF	4,021,447	5/3/77	Rubin et al.	260	326.8		
	AG	3,702,886	11/14/72	Argauer et al.	423	328		
	АН	3,709,979	1/9/73	Chu	423	328		
· · · · · · · · · · · · · · · · · · ·	Al	3,832,449	8/27/74	Rubin et al.				
<b>第一部队员中心主要</b> 。	Apr. 19 TO	KANDON F. W.	FOREIGN	PATENT DOCUMENTS				
		Document No.	Date	Country	Class	Subclass	Transla Yes	ition No
	AL	WO 94/05761	3/17/94	World	C11D	3/10		
								<u> </u>
	AM	1,429,143	3/24/76	Great Britain	C11D	10/02		
		1,429,143 WO 99/42553	3/24/76	Great Britain World	C11D	10/02		
	АМ	,					Х	
	AM AN AO	WO 99/42553 0,884,298 A2	8/26/99	World	C11D C07C	3/43		
	AM AN AO	WO 99/42553 0,884,298 A2 OTHER DOCUME	8/26/99 12/16/98 NTS (INCLUDING Actant Science a	World	C11D C07C NENT PAGES, E	3/43 43/10		4180 87
	AM AN AO	WO 99/42553  0,884,298 A2  OTHER DOCUME  Myers, Drew, "Surfa USA) 1988 (ISBN 0	8/26/99 12/16/98 NTS (INCLUDING Actant Science a 89573-399-0)	World  Europe  AUTHOR, TITLE, DATE, PERTINATE	C11D  C07C  NENT PAGES, E 5, VCH Publish	3/43 43/10 TC.) hers, Inc. (Ne	w York, I	NY,
A. A. A.	AM AO AR	WO 99/42553  0,884,298 A2  OTHER DOCUME  Myers, Drew, "Surfa USA) 1988 (ISBN 0  K. Shinoda, "Princip by Mercel Dekker, In	8/26/99  12/16/98  NTS (INCLUDING actant Science ac	World  Europe  AUTHOR, TITLE, DATE, PERTI and Technology," pp. 82-85  and Solubility," translation 92-304  Heinerth, "Determination of	C11D  C07C  NENT PAGES, E  5, VCH Publish  in collaboration	3/43 43/10 TC.) thers, Inc. (Ne	w York, I	NY, ublished

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

<sup>1.</sup> The attached cited information should not be construed as an admission that any of the above items are prior art to the subject invention.

<sup>2.</sup> This is not a representation that a search has been made.

FORM PTO-1449 (Modified)

## LIST OF INFORMATION PROPERTY BY APPLICANT

ATTY. DOCKET NO. TH1647 05 (US) APPLICATION NUMBER

**APPLICANT** 

FILING DATE

C. L. Edwards et al.

(Use several sheets if necessary)

GROUP ART UNIT

nitial		Document Number	Date	Patentee	Class	Subclass	Filin if Approp	g Date riate
	BA	4,482,531	11/13/84	Kuehl	423	329		
	ВВ	3,950,496	4/13/76	Ciric	423	328		
	ВС	3,972,983	8/3/76	Ciric	423	328		
	BD	4,046,859	9/6/77	Plank et al.	423	328		
	BE	4,247,416	1/27/81	Doherty et al.	252	428		
	BF	4,086,186	4/25/78	Rubin et al.	252	430		
	BG	4,046,859	9/6/77	Plank et al.	423	328		
	BH	4,287,166	9/1/81	Dwyer et al.	423	325		
	ВІ	4,247,728	1/27/81	Rubin et al.	585	467		
	TANK!		FOREIGN	I PATENT DOCUMENTS				
		Document Number	Date	Country	Class	Subclass	_Transla	llon No
	BL	06073045	3/15/94	Japan	C07D	273/08	X	
			11/21/91	Japan	C07D	309/24	X	
<del>-</del>	ВМ	03261779	1 1721/31					1
	BM BN	JP 11-349507	12/21/99	Japan	C07C	43/11	X	
				•	C07C	43/11	X	
	BN BO	JP 11-349507 100 20 899 A1	12/21/99	Japan	C07D	493/04	X	· 李林林 ( ***
	BN BO	JP 11-349507  100 20 899 A1  OTHER DOCUMEN  B. E. Gordon, H. Roc	12/21/99 10/25/01 TS (INCLUDING) Iddewig and W.	Japan Germany	C07D JENT PAGES,	493/04 ETC:)	X	
	BN BO	JP 11-349507  100 20 899 A1  OTHER DOCUMEN  B. E. Gordon, H. Rod Studies," Journal of A	12/21/99 10/25/01 TS (INCLUDING Addewig and W. American Oil C	Japan  Germany  AUTHOR, TITLE, DATE, PERTIN  T. Shebs, "A Double Labe	CO7D JENT PAGES, I Radiotrace No. 5 (1967) Precision W	493/04 ETC:) r Approach to pp. 289-294	X Deterge	псу
	BN BO BR	JP 11-349507  100 20 899 A1  OTHER DOCUMEN  B. E. Gordon, H. Roc Studies," Journal of A  W. T. Shebs and B. I American Oil Chemis	12/21/99  10/25/01  TS (INCLUDING dewig and W. American Oil C. E. Gorden, "Impats' Society, Volume Sotope Technical Control of the Control of	Japan  Germany  AUTHOR, TITLE, DATE, PERTIF  T. Shebs, "A Double Labe hemists' Society, Vol. 44, I	C07D IENT PAGES, I Radiotrace No. 5 (1967) Precision W -380	493/04 ETC:) r Approach to pp. 289-294 ith Radioacti	X Deterged	ournal o

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

- The attached cited information should not be construed as an admission that any of the above items are prior art to the subject invention.
- 2. This is not a representation that a search has been made.

FORM PTO-	·1449 (N	lodified)		ATTY. DOCKET NO. TH1647 05 (US)	APPLICA'	TION NUMBER		
LIS	ST OF I	NFORMATION PROV BY APPLICANT	Met &	APPLICANT C. L. Edwards et al.				
(Us	e several	sheets if necessary	DCT : 0 2004 =	FILING DATE				
REFERENCE D	DESIGNA	TION ·	LUS PA	TENT DOCUMENTS	are and the state of the state		e de la companya	(dát lébel)
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing if Approp	Date riate
	CA	4,495,303	1/22/85	Kuehl	502	62		
	СВ	4,397,827	8/9/83	Chu	423	326		
	СС	4,640,829	2/3/87	Rubin	423	328		
	CD	4,568,654	2/4/86	Valyocsik	502	62		
	CE	4,698,217	10/6/87	Valyocsik	423	328C		
	CF	4,647,442	3/3/87	Derouane et al.	423	306		
	CG	4,619,818	10/28/86	Derogane et al.	423	306		
	СН	4,954,325	9/4/90	Rubin et al.	423	328		
	CI	5,236,575	8/17/93	Bennett et al.	208	46		
	F1 20 4	L	   FOREIGN	PATENT DOCUMENTS		Total design		War of Sanday
97-1-17	1.090 AED, 5 7 5 1.0						Translat	ion
- E	CL	Document No.	Date	Country	Class	Subclass	Yes	No
	СМ							
	CN							<u> </u>
	СО							
"说"。《京城》	753.Az	FILING DATE   GROUP ART UNIT						
	CR	Roger Hunter et al.,	"Allylation Using	Allylborates," TETRAHE	DRON, Vol. 5	0, No. 3, 199	4, pp. 871	-888
	CS					Dimethyl Sul	fide," JOU	RNAL
	СТ	Withdrawing Substit	tuents With Optic					ol. 52,
EXAMINER	1	<u> </u>		DATE CONSIDERED				

EXAMINER: Initial If citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

The attached cited information should not be construed as an admission that any of the above items are prior art to the subject invention. This is not a representation that a search has been made.

<sup>2.</sup> 

FORM PTO-	1449 (N	lodified)			APPLICA	ATION NUMBE	R	
LIS	ST OF I	NFORMATION PROVI	DED	APPLICANT	<u> </u>			
		BY APPLICANT	A 11					
(Use	e several	sheets if necessary)	B May C	FILING DATE	GROUP	ART UNIT		
REFERENCE D	ESIGNA	ΠON	U.S. PAT	ENT DOCUMENTS				
Examiner Initial		Document Number	Date	Patentee	Class	Subclass		
	DA	3,308,069	3/7/67	Wadlinger et al.	252	455		
	DB	Re. 28,341	2/18/75	Wadlinger, et al.	208	120		
-	DC	3,058,805	10/16/62	Weber	23	113		
	DD	3,130,007	4/21/64	Breck	23	113		
	DE	3,996,337	12/7/76	Stabenow et al.	423	328		
	DF	4,440,871	4/3/84	Lok et al.	502	214		
	DG	5,059,567	10/22/91	Linsten et al.	502	64		
	DH	3,462,525	8/19/69	Levinsky	424	56		
	DI	3,428,654	2/18/69	Rubinfeld et al.	260	327		
4 T.	, ¥		FOREIGN	ATENT DOCUMENTS		Mark the second		S-1-40+12
		THI-647 05 (US) APPLICANT C. L. Edwards et al. FILING DATE  PARTION  Document Number Date Date Patentee Class Subclass If Appropriate  Patentee Class Subclass If Appropriate Class Class						
	DL							
	DM							
	DN							
	DO							
	A S	OTHER DOCUMEN	I TS (INCLUDING AU	  THOR, TITLE, DATE, PERTINEN	T PAGES,	ETC.)	L L	
	DR	F. Wang etal., Synlet	t, (1998) pp. 245	-245				
	DS	A. A. Volkov et al., De	okl. Chem. 283,	(1985), pp. 246-248				
	DT	International Search	Report of 5 July	2002	. 4.			
EXAMINER		L		DATE CONSIDERED		·		
_,								

EXAMINER: initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

<sup>1.</sup> The attached cited information should not be construed as an admission that any of the above items are prior art to the subject invention.

This is not a representation that a search has been made.

FORM PTO-	1449 (N	lodified)	1 G	ATTY. DOCKET NO. TH1647 05 (US)	APPLICA	ATION NUMBE	R	
LIS	ST OF I	NFORMATION PROVI	_	APPLICANT	I			
(Use	e severa	I sheets if necessary)	OCT : 8 2004	FILING DATE	GROUP	ART UNIT		
REFERENCE D	ESIGNA	TION	TRADENSTOA	TENT DOCUMENTS	THE SECTION AND A SECTION AND		\$ <b>5</b> 1	
Examiner Initiai		Document Number	Date	Patentee	Class	Subclass	Filing If Approp	Date riate
	EA	3,420,875	1/7/69	Di Salvo et al.	260	513		
	EB	3,506,580	4/14/70	Rubinfeld et al.	252	138		
	EC	3,579,537	5/18/71	Rubinfeld et al.	260	327		
	ED	3,524,864	8/18/70	Rubinfeld et al.	260	327		
	EE	5,057,627	10/15/91	Edwards	568	618		
	EF	6,087,311	7/11/00	Van Dijk	510	294		
	EG	6,083,893	7/4/00	Zint et al.	510	221	<u> </u>	<del></del>
	EH	6,159,920	12/12/00	Ridyard	510	324		
	El	6,153,574	11/28/00	Janssen	510	224		
			FOREICN	PATENT DOCUMENTS	7 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		10 10 10 10 10 10 10 10 10 10 10 10 10 1	建一致水焦
4. 54° \$1. a-b	12 P		FUNEIGIN	PATENT DOCUMENTS	2. 其2.据1.数		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	160 THE TOTAL SECTION AND ADMINISTRATION OF THE PARTY OF
	BL .	Document Number	Date	Country	Class	Subclass	Translate	Transco
	EL			27 Change			Transiat	ion
				27 Change			Transiat	ion
	EL			27 Change			Transiat	ion
	EL EM			27 Change			Transiat	ion
	EN EN	Document Number  OTHER DOCUMEN	Date	Country  UTHOR, TITLE, DATE, PERTIN	Class	Subclass	Translati Yes	ion No
	EN EN	Document Number  OTHER DOCUMEN	Date	Country	Class	Subclass	Translati Yes	ion No
	EL EM EN EO	Document Number  OTHER DOCUMEN	Date	Country  UTHOR, TITLE, DATE, PERTIN	Class	Subclass	Translati Yes	ion No
	EL EM EN EO	Document Number  OTHER DOCUMEN	Date	Country  UTHOR, TITLE, DATE, PERTIN	Class	Subclass	Translati Yes	ion No
	EL EM EN EO ER	Document Number  OTHER DOCUMEN	Date	Country  UTHOR, TITLE, DATE, PERTIN	Class	Subclass	Translati Yes	ion No

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

<sup>1.</sup> The attached cited information should not be construed as an admission that any of the above items are prior art to the subject invention.

This is not a representation that a search has been made.

F	O	R	M	l P	ro-	1449	(Modified)	١

ATTY. DOCKET NO. TH1647 05 (US)

APPLICATION NUMBER

LIST OF INFORMATION BY APPLICANT

**APPLICANT** 

C. L. Edwards et al.

(Use several sheets if necessary)

FILING DATE

**GROUP ART UNIT** 

REFERENCE	ESIGNA		U.S. 124	ATENT DOCUMENTS		T4,,	- Cille -	Date
xaminer nitial		Document No.	Date	Patentee	Class	Subclass	if Appropr	late
	FA	2,778,855	1/22/57	Shokal et al.	260	613		
	FB	4,503,275	3/5/85	Harris et al.	568	866		39-1
	FC	4,317,938	3/2/82	Lutz	568	619		
	FD	4,721,816	1/26/88	Edwards	568	618		
	FE	4,721,817	1/26/88	Edwards	518	618		
	FF	2,808,442	10/1/57	Smith et al.	260	611		
	FG	5,912,408	6/15/99	Trinh et al.	8	142		
<del></del>	FH	4,544,512	10/1985	Hozumi et al.	558	169		
	FI	Ser. No. 10/025,080	12/19/01	Edwards et al.				
			FOREIGN	IPATIENT DOCUMENTS				
		Document No.	Date	Country	Class	Subclass	Translati Yes	<u>on</u> No
	FL							
	FM							
	FN							
	FO							
		OTHER DOCUMEN	is(ingluding/	AUNKOR, WWLE, DAWE, PERMI	Nentipages, e	11(64))		
	FR							
	'''							
	FS							
	ı · ~	1						
	FT							
	FT				<u></u>			

EXAMINER: Initial If citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

This is not a representation that a search has been made.

2.

The attached cited Information should not be construed as an admission that any of the above items are prior art to the subject invention. 1.